Attorney Docket No. 03136652

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of:	)	
R. Eric MONTGOMERY Application No.: 10/050,196	) ) )	Examiner: Shep K. ROSE Group Art Unit: 1614
Filed: January 17, 2002	)	
For: METHODS OF WHITENING TEETH	)	
	)	

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO/SB/08A. Copies of the listed documents are enclosed herewith.

This Information Disclosure Statement is being submitted after the mailing date of the first office action on the merits. Accordingly, a check in the amount of \$180.00 is enclosed.

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each of all of the listed documents are material or 00000086 10050196 constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee in connection with the filing of this statement, please charge the fee to

our Deposit Account No. 13-0019.

Respectfully submitted,

Christine M. Rebman

Reg. No. 50,546

Date: September 17, 2003

MAYER, BROWN, ROWE & MAW LLP

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Approved for use through 07/31/2006, OMB 0651-0031

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Sheet 1

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Cor	mplete if Known	•
Application Number	10/050,196	_
Filing Date	January 17, 2002	_
First Named Inventor	R. Eric MONTGOMERY	_
Art Unit	1614	
Examiner Name	Shep K. ROSE	
Attorney Docket Number	03136652	7

U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US- 2001/0021374 A1	09/13/2001	Montgomery			
	2	<sup>US-</sup> 2002/0141951 A1	10/03/2002	Montgomery			
	3	<sup>US-</sup> 2002/0131937 A1	09/19/2002	Montgomery			
	4	<sup>US-</sup> 2002/0137728 A1	09/26/2002	Montgomery			
	5	<sup>US-</sup> 2003/0089886 A1	05/15/2003	Montgomery			
	6	<sup>US-</sup> 5,738,843	04/14/1998	Montgomery			
	7	<sup>US-</sup> 5,816,802	10/06/1998	Montgomery			
	8	<sup>US-</sup> 5,908,614	06/01/1999	Montgomery			
	9	<sup>US-</sup> 5,922,307	07/13/1999	Montgomery			
	10	<sup>US-</sup> 5,944,528	08/31/1999	Montgomery			
	11	<sup>US-</sup> 6,162,055	12/19/2000	Montgomery et al.			
	12	<sup>US-</sup> 6,221,341 B1	04/24/2001	Montgomery			
	13	<sup>US-</sup> 6,281,265 B1	08/28/2001	Montgomery et al.			
	14	<sup>US-</sup> 6,312,670 B1	11/06/2001	Montgomery			
	15	US- 6,322,773 B1	11/27/2001	Montgomery			
	16	<sup>US-</sup> 6,331,292 B1	12/18/2001	Montgomery	'		
	17	<sup>US-</sup> 6,343,933 B1	02/05/2002	Montgomery et al.			
	18	<sup>US-</sup> 6,475,469 B1	11/05/2002	Montgomery			
	19	<sup>US-</sup> 6,479,037 B1	11/12/2002	Montgomery			

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (# known) MM-DD-YYYY		Or Relevant Figures Appear	Τ <sup>6</sup>				
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Examiner	Date	
Signature	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

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Sheet 2

## ORMATION DISCLOSURE EMENT BY APPLICANT

(Use as many sheets as necessary)

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Examiner Name	Shep K. ROSE				
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	20	<sup>US-</sup> 6,488,914 B2	12/03/2002	Montgomery	· · -
	21	<sup>US-</sup> 6,514,543 B2	02/04/2003	Montgomery	
-	22	<sup>US-</sup> 6,536,628 B2	03/25/2003	Montgomery	
	23	<sup>US-</sup> 6,576,227 B1	06/10/2003	Montgomery	
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		FORE	IGN PATENT DOCU	JMENTS		
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	24	WO 99/40870 A1	08/19/1999	Britesmile, Inc		
	25	WO 01/51005 A2	07/19/2001	Britesmile, Inc		
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Examiner		 Date		
Signature		Consid	ered	

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